

North Carolina A&T State University Annual Conflict of Interest/Commitment Statement

Name (print): Banner ID: Campus Address:
 Street City/State ZipLocal Address:
 Street City/State ZipTelephone: Local Telephone No.: Email: Rank: Title: Department: Unit:

In order to comply with the University Policy on Conflicts of Interest and Commitment, as set forth in the University's policy statement, all faculty and EHRA non-teaching employees are to complete annually the conflict of interest/commitment form below.

Note: This confidential form will be included as a part of your personnel file. It may affect your employment and its completion is considered to be a condition of your employment. The information contained herein will only be disclosed to persons expressly authorized by you, by statute or judicial order.

Complete the questions below:

If any answer to Questions 1-2 is YES, then complete Appendix A.

1. Do you supervise or assign any undergraduate or graduate students, post-doctoral fellows or other trainees in connection with work on University projects sponsored by a business in which you have or a member of your family has a significant financial interest?

YES NO

2. Do you employ or otherwise allow the participation of any students in your external professional activities for pay?

YES NO

If any answer to Questions 3-4 is YES, then complete Appendix B.

3. Have you published or formally presented University-sponsored research results or provided expert commentary on a related subject or served on a committee to evaluate technology owned or licensed by a business in which you have or a member of your family has a financial interest?

YES NO

4. Are there any other matters referred to in Categories II & III of the University Policy on Conflicts of Interest and Commitment in which you are involved that have not already been disclosed on this form?

YES NO

If any answer to Questions 5-8 is YES, then complete Appendix C.

5. Did you participate in University clinical research on a technology developed by you or a member of your family?

YES NO

6. Are you or a member of your family an employee of or serving in an executive position of an enterprise (a) engaged in commercial or research activities in an area related to your University responsibilities; (b) with which the University has a substantial contractual relationship; or (c) that sponsors research, testing or service projects in which you are a participant.

YES NO

7. Do you or a member of your family have a significant financial interest, including compensation as a consultant, in an enterprise in your field of academic specialization or research or that sponsors research, testing, service projects or other projects in which you are a participant?

YES NO

8. Do you supervise, select, or evaluate services provided to the University by an enterprise or do you refer University's business to an enterprise in which you have or a member of your family has financial interest?

YES NO

ACKNOWLEDGEMENT

I hereby acknowledge that I have read and understand the Policy on Conflict of Interest and Commitment and that the aforementioned facts and situations indicate all potential conflicts of interest and commitment with regard to my position at North Carolina A&T State University according to the standards and guidelines of the Policy. If I have none, I have so indicated in the spaces provided.

I acknowledge that I have a continuing obligation to file an updated form prior to filing the next annual report if changes arise that I believe may either: (a) give rise to a potential conflict of interest; (b) eliminate a conflict previously discussed.

Signed this _____ day of _____, 20____

Signature: _____

ADMINISTRATIVE REVIEW AND APPROVAL
CONFLICT OF INTEREST AND COMMITMENT REVIEW

To the best of my knowledge and judgment, and according to the standards and guidelines of the Policy:

- No conflict of interest or commitment exists.
- A conflict of interest or commitment may exist, but does not appear to be significant *(please attach explanation)*.
- A conflict of interest may exist, which warrants further review.

Department Chair

Date

Dean

Date

Vice Chancellor

Date